



2829/44 J
Atty. Dkt. No. 035905-0103

IN THE UNITED STATES PATENT AND TRADEMARK OFFICE

Applicant: Andrew J. WALKER et al.
Title: NONVOLATILE MEMORY ON SOI
AND COMPOUND
SEMICONDUCTOR SUBSTRATES
AND METHOD OF FABRICATION
Appl. No.: 09/927,642
Filing Date: 08/13/2001
Examiner: Evan T. Pert
Art Unit: 2829

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AMENDMENT TRANSMITTAL

Commissioner for Patents
PO Box 1450
Alexandria, Virginia 22313-1450

Sir:

Transmitted herewith is an amendment in the above-identified application.

Small Entity status under 37 C.F.R. § 1.9 and § 1.27 has been established by a Small Entity statement previously submitted.

Small Entity statement is enclosed.

The fee required for additional claims is calculated below:

	Claims as Amended	Previously Paid For	Extra Claims Present	Rate	Additional Claims Fee
Total Claims:	70	—	68	= 2 x \$18.00	= \$36.00
Independents:	5	—	3	= 2 x \$84.00	= \$168.00
First presentation of any Multiple Dependent Claims:			+	\$280.00	= \$0.00
				CLAIMS FEE TOTAL:	= \$204.00

Applicant hereby petitions for an extension of time under 37 C.F.R. § 1.136(a) for the total number of months checked below:

<input type="checkbox"/>	Extension for response filed within the first month:	\$110.00	\$0.00
<input type="checkbox"/>	Extension for response filed within the second month:	\$410.00	\$0.00
<input type="checkbox"/>	Extension for response filed within the third month:	\$930.00	\$0.00
<input type="checkbox"/>	Extension for response filed within the fourth month:	\$1,450.00	\$0.00
<input type="checkbox"/>	Extension for response filed within the fifth month:	\$1,970.00	\$0.00
		EXTENSION FEE TOTAL:	\$0.00
<input type="checkbox"/>	Statutory Disclaimer Fee under 37 C.F.R. 1.20(d):	\$110.00	\$0.00
		CLAIMS, EXTENSION AND DISCLAIMER FEE TOTAL:	\$204.00
<input type="checkbox"/>	Small Entity Fees Apply (subtract ½ of above):		\$0.00
		TOTAL FEE:	\$204.00

Please charge Deposit Account No. 19-0741 in the amount of \$204.00. A duplicate copy of this transmittal is enclosed.

A check in the amount of \$204.00 is enclosed.

The Commissioner is hereby authorized to charge any additional fees which may be required regarding this application under 37 C.F.R. §§ 1.16-1.17, or credit any overpayment, to Deposit Account No. 19-0741. Should no proper payment be enclosed herewith, as by a check being in the wrong amount, unsigned, post-dated, otherwise improper or informal or even entirely missing, the Commissioner is authorized to charge the unpaid amount to Deposit Account No. 19-0741. If any extensions of time are needed for timely acceptance of papers submitted herewith, applicant hereby petitions for such extension under 37 C.F.R. § 1.136 and authorizes payment of any such extensions fees to Deposit Account No. 19-0741.

Please direct all correspondence to the undersigned attorney or agent at the address indicated below.

Respectfully submitted,

Date 5/23/03

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Marsha
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AMENDMENT AND REPLY UNDER 37 CFR 1.111

Commissioner for Patents
Mail Stop AMENDMENT
PO Box 1450
Alexandria, VA 22313-1450

Sir:

This communication is responsive to the Non-Final Office Action dated April 22, 2003, concerning the above-referenced patent application.

Please amend the application as follows.

In the Claims

Please amend the claims by replacing claim 25 with the following amended version.

A marked up version showing the changes made is attached as Exhibit A.

25. (Amended) A nonvolatile memory array, comprising:
a monolithic three dimensional array of nonvolatile memory devices comprising an array of EEPROMs;
at least one driver circuit;
a substrate;

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01 FC:1201
02 FC:1202

168.00 OP
36.00 OP